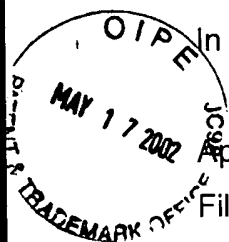


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6-24-02

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the Application of:

Ryuji UESUGI et al.

Application No.: 10/035,224

Filed: January 4, 2002

Attorney Docket No.: SHG-0201

Examiner: *To Be Assigned*

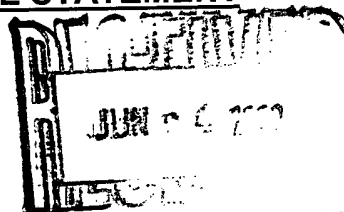
Art Unit: 1755

For: PASTE FOR FORMING CERAMIC RIBS, PRODUCTION METHOD FOR THE SAME AND FORMING METHOD OF RIBS USED THE SAME

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:



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TC 1700

Submitted herewith on Form PTO/SB/08A is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.
- ☐ As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

## CONCISE EXPLANATION OF RELEVANCY OF THE DOCUMENT

(Fill out if no English translation, partial translation or English abstract is available)

- ☐ Any document having neither English translation nor English abstract has been cited in a communication from a foreign patent office in a counterpart foreign application or its related application, a copy of which is attached hereto, accompanied by English translation of at least relevant part, if it is not in English. English translation of the document is not readily available; however, the absence of such translations does not

relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609).

☐ The relevance of a document having no English translation or abstract is explained in the parent application above.

☐ \_\_\_\_\_.

☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.

☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND before the mailing date of the first Office Action on the merits. No certification or fee is required.

☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).


☒ c. If the Information Disclosure Statement is being filed after the mailing date of the first Office Action, please charge the appropriate amount in payment of the fee under 37 CFR §1.17(p) to Deposit Account No. 18-0013.

☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please charge the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p) to Deposit Account No. 18-0013. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ 4. The reference(s) was/were cited in a counterpart foreign application. An English language version of the European or International Search Report is attached for the Examiner's information.
- ☐ 5. In the event any fees are due with this paper, please charge our Deposit Account No. 18-0013.
- ☒ 7. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Respectfully submitted,

By:

  
Carl Schaukowitch  
Registration No. 29,211

Date: May 17, 2002

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Substitute for form 1449A/PTO

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STATEMENT BY APPLICANT**

(use several sheets if necessary)

Sheet

**1**

of

**2**

Application Number

10/035,224

Filing Date

January 4, 2002

First Named Inventor

Ryuji UESUGI

Art Unit

1755

Examiner Name

To Be Assigned

Attorney Docket Number

SHG-0201

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If Known)			
	A1	5,240,884	08-31-1993	Herrington et al.	
	B1	5,840,107	11-24-1998	Salibay	
	C1	5,801,108	09-01-1998	Huang et al.	
	D1				
	E1				
	F1				
	G1				
	H1				

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	I1	EP 0 722 179 A2	07-17-1996	Hiroshi KANDA et al.		
	J1	Japan 11084638	03-26-1999	Masaki YOSHIKI		Abstract
	K1	Japan 11283497	10-15-1999	Kanda YOSHIO		Abstract
	L1	Japan 2000185937	07-04-2000	Kanda YOSHIO		Abstract
	M1	WO 00/73227 A1	12-07-2000	Liang A. XUE et al.		
	N1					

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

(use several sheets if necessary)

Sheet

**2**

of

**2**

Application Number

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First Named Inventor

Ryuji UESUGI

Art Unit

1755

Examiner Name

To Be Assigned

Attorney Docket Number

SHG-0201

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	O1	European Search Report, EP 02 00 0163, April 11, 2002	
	P1		
	Q1		
	R1		
	S1		
	T1		
	U1		
	V1		
	W1		
	X1		
	Y1		
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